

WREAKE
VALLEY



ACADEMY

Wreake Valley Academy

Exams Policy

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Date approved by Local Advisory Board	2 nd March 2020
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Signed on behalf of the Local Advisory Board
Chair of Governors/Chair of Committee

Wreake Valley Academy

Reviewed January 2020



Exams Policy

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The purpose of this exams policy is:

- ✓ to ensure the planning and management of exams is conducted efficiently and in the best interests of candidates;
- ✓ to ensure the operation of an efficient exams system with clear guidelines for all relevant staff.

It is the responsibility of everyone involved in the centre's exam processes to read, understand and implement this policy.

The exams policy will be reviewed annually.

The exams policy will be reviewed by the Head of Centre, Senior Leadership Team and the Exams Officer

Where references are made to JCQ regulations/guidelines, further details can be found at www.jcq.org.uk.

Exam responsibilities

The Head of Centre:

- ✓ has overall responsibility for the school/college as an exams centre and advises on appeals and re-marks.
- ✓ is responsible for reporting all suspected or actual incidents of malpractice - refer to the JCQ document *Suspected malpractice in examinations and assessments*.

Exams officer¹:

- ✓ manages the administration of internal exams and external exams
- ✓ advises the senior leadership team, subject and class tutors, and other relevant support staff on annual exams timetables and procedures as set by the various awarding bodies.
- ✓ oversees the production and distribution, to all centre staff and candidates, of an annual calendar for all exams in which candidates will be involved and communicates regularly with staff concerning imminent deadlines and events.
- ✓ ensures that candidates and their parents are informed of and understand those aspects of the exams timetable that will affect them.

¹ This is the individual to whom the Head of Centre has delegated responsibility for the administration of exams in their centre.

- checks with teaching staff that the necessary coursework and/or controlled assessments are completed on time and in accordance with JCQ guidelines.
- provides and confirms detailed data on estimated entries.
- maintains systems and processes to support the timely entry of candidates for their exams.
- receives, checks and stores securely all exam papers and completed scripts and ensures that scripts are dispatched as per the guidelines.
- administers access arrangements and makes applications for special consideration following the regulations in the JCQ publication *A guide to the special consideration process*.
- identifies and manages exam timetable clashes.
- accounts for income and expenditures relating to all exam costs/charges.
- line manages the senior exams invigilator in organising the recruitment, training, and monitoring of a team of exams invigilators responsible for the conduct of exams.
- ensures candidates' coursework / controlled assessment marks are submitted, and any other material required by the appropriate awarding bodies correctly and on schedule.
- tracks, dispatches, and stores returned coursework / controlled assessments.
- arranges for dissemination of exam results and certificates to candidates and forwards, in consultation with the SLT, any post results service requests.

Heads of department are responsible for:

- guidance and pastoral oversight of candidates who are unsure about exams entries or amendments to entries.
- accurate completion of entry and all other mark sheets and adherence to deadlines as set by the exams officer.
- accurate completion of coursework / controlled assessment mark sheets and declaration sheets.
- decisions on post-results procedures.

Teachers are responsible for:

- supplying information on entries, coursework and controlled assessments as required by the head of department and/or exams officer.

The Special Educational Needs and Disability coordinator (SENDCo) is responsible for:

- identification and testing of candidates' requirements for access arrangements and notifying the exams officer in good time so that they are able to put in place exam day arrangements
- process any necessary applications in order to gain approval (if required).
- working with the exams officer to provide the access arrangements required by candidates in exams rooms.

Lead invigilator/invigilators are responsible for:

- assisting the exams officer in the efficient running of exams according to JCQ regulations.
- collection of exam papers and other material from the exams office before the start of the exam.
- collection of all exam papers in the correct order at the end of the exam and ensuring their return to the exams office.

Candidates are responsible for:

- confirmation and signing of entries.
- understanding coursework / controlled assessment regulations and signing a declaration that authenticates the coursework as their own.
- ensuring they conduct themselves in all exams according to the JCQ regulations.

Qualifications offered

The qualifications offered at this centre are decided by the Senior Leadership Team.

The types of qualifications offered are GCSE, A Level, AS Level legacy re-sits, BTEC and functional skills

The subjects offered for these qualifications in any academic year may be found in the centre's published prospectus or similar documents for that year. If there is to be a change of specification for the next year, the exams office must be informed by 20th June 2020.

Informing the exams office of changes to a specification is the responsibility of the Heads of Department and teachers responsible for a subject.

Decisions on whether a candidate should be entered for a particular subject will be taken by Senior Leadership Team in consultation with the subject teacher, Head of Department and SENDCo.

Exam series

Internal exams (mock and progress exams) and assessments are scheduled in November, December, January, March and June.

External exams and assessments are scheduled in November, January and May and June.

Internal exams are held under external exam conditions in some instances where it is considered practical and beneficial to students.

The Senior Leadership Team and Heads of Department decide which exam series are used in the centre.

The centre does offer some assessments on an on-demand basis. If offered, on-demand assessments can be scheduled only in windows agreed between the Senior Leadership Team and the Head of Department.

Exam timetables

Once confirmed, the exams officer will circulate the exam timetables for internal and external exams at a specified date before each series begins.

Entries, entry details and late entries

Candidates or parents/carers can request a subject entry, change of level or withdrawal.

The centre accepts entries from private candidates.

The centre does not act as an exams centre for other organisations.

Entry deadlines are circulated to heads of department/curriculum via email, briefing meetings, internal post/pigeon hole, the school intranet.

Heads of department/curriculum will provide estimated entry information to the exams officer to meet JCQ and awarding body deadlines.

Entries and amendments made after an awarding organisation's deadline (i.e. late) require the authorisation, in writing, of the Assistant Principal with responsibility for examinations.

GCSE re-sits/retakes in English and Maths for enrolled A Level students are allowed.

AS re-sits/retakes in unreformed subjects are allowed for Year 13 students still enrolled at Wreake Valley.

A level re-sits are not usually allowed.

Re-sit decisions will be made by the Senior Leadership Team and/or Heads of Department in consultation with the candidate, subject teachers and Exams Officer.

Exam fees

Candidates or departments will not be charged for changes of tier, withdrawals made by the proper procedures or alterations arising from administrative processes provided these are made within the time allowed by the awarding bodies.

The exams officer will publish the deadline for actions well in advance for each exams series.

GCSE entry exam fees are paid by the centre.

AS entry exam fees are paid by the centre.

A level entry exam fees are paid by the centre. Functional

skills entry exam fees are paid by the centre. Late entry or

amendment fees are paid by the department.

Fee reimbursements are sought from candidates:

- if they fail to sit an exam
- if they do not meet the necessary coursework requirements without medical evidence or evidence of other mitigating circumstances.

Re-sit fees are paid by the candidates.

Equality Legislation

All exam centre staff must ensure that they meet the requirements of any equality legislation.

The centre will comply with the legislation, including making reasonable adjustments to the service that they provide to candidates in accordance with requirements defined by the legislation, awarding bodies, and JCQ. This is the responsibility of the Examinations Officer and SENDCo.

Access arrangements

The SENDCo will inform subject teachers of candidates with special educational needs and any special arrangements that individual candidates will need during the course and in any assessments/exams.

A candidate's access arrangements requirement is determined by the SENDCo.

Ensuring there is appropriate evidence for a candidate's access arrangement is the responsibility of the SENDCo.

Submitting completed access arrangement applications to the awarding bodies is the responsibility of the Exams Officer.

Rooming for access arrangement candidates will be arranged by the SENDCo and the Exams Officer.

Invigilation and support for access arrangement candidates, as defined in the JCQ access arrangements regulations, will be organised by the SENDCo and the Exams Officer.

Contingency planning

Contingency planning for exams administration is the responsibility of the Examinations Officer.

Contingency plans are available via the school intranet *and are in line with the guidance provided by Ofqual, JCQ and awarding organisations.*

Private candidates

Managing private candidates is the responsibility of the Exams Officer.

Estimated grades

All teachers are responsible for submitting estimated grades to the exams officer when requested by the exams officer.

Managing invigilators

External staff will be used to invigilate examinations.

These invigilators will be used for internal exams and external exams.

Recruitment of invigilators is the responsibility of the Exams Officer.

Securing the necessary Disclosure Barring Service (DBS) clearance for new invigilators is the responsibility of the Exams Officer.

DBS fees for securing such clearance are paid by the centre.

Invigilators' rates of pay are set by the local County Council.

Invigilators are recruited, timetabled, trained, and briefed by the Exams Officer.

Assessment Malpractice and Maladministration

The Head of Centre in consultation with Exams Officer is responsible for investigating suspected malpractice.

'Malpractice', refers to any act, default or practice which is a breach of JCQ's Regulations in the context of all internal and external examinations and assessments undertaken by Centre's registered learners (candidates).

Malpractice and Maladministration may or may not relate directly to sitting an examination and damages the authority, reputation or credibility of the centre or any employee of the centre.

Malpractice includes non-compliance with JCQ regulations and includes activities such as (*this list is not exhaustive – please refer to APPENDIX 2 in JCQ Suspected Malpractice_20-21_v2-1*):

- deception,
- improper assistance to candidates;
- compromise or attempts to compromise, the process of assessments, the integrity of any qualification or the validity of a result or certificate;
- failure by a centre to notify, investigate and report to an awarding body all allegations of malpractice or suspected malpractice constitutes malpractice in itself;
- failure to take action as required by an awarding body,
- failure to co-operate with an awarding body's investigation;
- failure to adhere to the regulations regarding the conduct of controlled assessments, coursework, examinations and non-examination assessments;
- breach of security: failures of compliance with JCQ regulations in the conduct of examinations/assessments and/or the handling of examination question papers, candidate scripts, mark sheets, cumulative assessment records, results and certificate claim forms.
- maladministration;

Centre staff malpractice:

Centre staff malpractice' means malpractice committed by (*this list is not exhaustive – please refer to APPENDIX 2 in JCQ Suspected Malpractice_20-21_v2-1*):

- a member of staff, contractor (whether employed under a contract of employment or a contract for services) or a volunteer at the centre;
- an individual appointed in another capacity by a centre such as an invigilator, a Communication Professional, an Oral Language Modifier, a practical assistant, a prompter, a reader or a scribe.

Candidate malpractice

'Candidate malpractice' means malpractice by a candidate in connection with any examination or assessment, including (*this list is not exhaustive – please refer to APPENDIX 2 in JCQ Suspected Malpractice_20-21_v2-1*):

- preparation and authentication of any controlled assessments, coursework or non-examination assessments;
- presentation of any practical work;
- compilation of portfolios of assessment evidence;
- writing of any examination paper.

Maladministration

Failure to adhere to the regulations regarding the conduct of controlled assessments, coursework, examinations and non-examination assessments, or malpractice in the conduct of examinations/assessments and/or the handling of examination question papers, candidate scripts, mark sheets, cumulative assessment records, results and certificate claim forms, etc.

For example (*this list is not exhaustive – please refer to APPENDIX 2 in JCQ Suspected Malpractice_20-21_v2-1*):

- failing to ensure that candidates' controlled assessment, coursework, non-examination assessment or work to be completed under controlled conditions is adequately completed and/or monitored and/or supervised;
- failure, on the part of the head of centre, to adhere to awarding body specification requirements in the delivery of non-examination assessments, Endorsements and other projects required as part of a qualification. These include the GCSE Computer Science Programming Project, GCSE English Language Spoken Language Endorsement and/or the GCE A-level Biology, Chemistry, Geology and Physics Practical Skills Endorsement;
- inappropriate members of staff assessing candidates for access arrangements who do not meet the criteria as detailed within Chapter 7 of the JCQ publication Access Arrangements and Reasonable Adjustments;
- failure to use the correct tasks/assignments for assessments;

Any candidates or centre staff found to be in breach of JCQ guidelines will be reported to the awarding bodies.

Please note: with regards to all Teacher Assessed Grades (in particular for Summer 2021), Wreake Valley Academy will ensure that students are made aware of the evidence used to determine their grade. Although teachers may share marks associated with students' individual pieces of evidence, they must not share with students, the grades submitted to awarding organisations before the results are released.

Exam days

The exams officer will book all exam rooms after liaison with other users and make the question papers, other exam stationery and materials available for the invigilator.

Site management staff is responsible for setting up the allocated rooms, and will be advised of requirements in advance.

The Chief Invigilator will start and finish all exams in accordance with JCQ guidelines.

Subject staff may be present at the start of the exam to assist with identification of candidates. Any staff present must be in accordance with the rules defined by JCQ concerning who is allowed in the exam room and what they can do.

In practical exams, subject teachers' availability will be in accordance with JCQ guidelines.

Exam papers must not be read by subject teachers or removed from the exam room before the end of a session. Papers will be distributed to Heads of Department in accordance with JCQ's recommendations.

After an exam, the exams officer will arrange for the safe dispatch of completed examination scripts to awarding bodies, working in conjunction with Chief Invigilator.

Candidates

The exams officer will provide written information to candidates in advance of each exam series. A formal briefing session for candidates may be given by the Senior Leadership Team.

The centre's published rules on acceptable dress and behaviour apply at all times. Candidates' personal belongings remain their own responsibility and the centre accepts no liability for their loss or damage.

In an exam room candidates must not have access to items other than those clearly allowed in the instructions on the question paper, the stationery list, or the specification for that subject. This is particularly true of mobile phones and other

electronic communication or storage devices with text or digital facilities. Any precluded items must not be taken into an exam room.

Disruptive candidates are dealt with in accordance with JCQ guidelines. Candidates are expected to stay for the full exam time at the discretion of the Senior Leadership Team.

Note: candidates who leave an exam room must be accompanied by an appropriate member of staff at all times.

The Exams Officer is responsible for handling late or absent candidates on exam day.

Clash candidates

The Exams Officer will be responsible as necessary for supervising escorts, identifying a secure venue and arranging overnight stays.

Special consideration

Should a candidate be unable to attend an exam because of illness, suffer bereavement or other trauma, be ill or otherwise disadvantaged or disturbed during an exam, then it is the candidate's responsibility to alert the centre's Exams Officer or an invigilator to that effect.

The candidate must support any special consideration claim with appropriate evidence within five days of the exam.

The exams officer will make a special consideration application to the relevant awarding body within seven days of the exam.

Internal assessment

It is the duty of heads of department to ensure that all internal assessment is ready for dispatch at the correct time. The exams officer will assist by keeping a record of each dispatch, including the recipient details and the date and time sent.

Marks for all internally assessed work are provided to the exams office by the subject teachers or Heads of Department. The exams officer will inform staff of the date when appeals against internal assessments must be made by. Any

appeals will be dealt with in accordance with the centre's Internal Appeals Procedure (IAP) document.

Results

Candidates will receive individual results slips on results days,
in person at the centre.

The results slip will be in the form of a centre produced document.

Arrangements for the centre to be open on results days are made by the Exams Officer.

The provision of the necessary staff on results days is the responsibility of the Exams Officer.

Enquiries about Results (EAR)

EARs may be requested by centre staff or the candidate following the release of results. A request for a re-mark or clerical check requires the written consent of the candidate, a request for a re-moderation of internally assessed work may be submitted without the consent of the group of candidates.

The cost of EARs will usually be paid by the candidate.

All decisions on whether to make an application for an EAR will be made by Examinations Officer.

If a candidate's request for an EAR is not supported, the candidate may appeal and the centre will respond by following the process in its Internal Appeals Procedure (IAP) document.

All processing of EARs will be the responsibility of the Exams Officer following the JCQ guidance.

Access to Scripts (ATS)

After the release of results, candidates may ask subject staff to request the return of written exam papers within three working days of the receipt of results.

Centre staff may also request scripts for investigation or for teaching purposes. For the latter, the consent of candidates must be obtained.

An EAR cannot be applied for once an original script has been returned.

The cost of EARs will usually be paid by the candidate.

Processing of requests for ATS will be the responsibility of Exams Officer.

Certificates

Candidates will receive their certificates

- ✓ in person at the centre.

Certificates can be collected on behalf of a candidate by third parties, provided they have written authority from the candidate to do so, and bring suitable identification with them that confirms who they are.

The centre retains certificates for one year.

A new certificate will not be issued by an awarding organisation. A transcript of results may be issued if a candidate agrees to pay the costs incurred.

The following documents should be read in conjunction with this policy:

- JCQ – General Regulations for Approved Centres
- JCQ – Instructions for conducting examinations
- JCQ – Access Arrangements and Reasonable Adjustments
- JCQ – Special Consideration Process
- JCQ – Suspected Malpractice in Examinations and Assessments